The Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) programs allow US-owned and operated small businesses to engage in federal research and development that has a strong potential for commercialization.

The NIH SBIR/STTR programs invest over $1 billion per year into health and life science companies.

**Scored Review Criteria**

- Significance • Innovation • Approach
- Investigator(s) • Environment

**Additional Review Criteria (Impact Score)**

- Study Timeline (clinical trials)
- Phase II/Direct Phase II
- Fast Track
- Human Subjects/Inclusion
- Vertebrate Animals
- Biohazards
- Resubmission/Renewal/Revision

**Additional Review Considerations**

- Select Agents
- Resource Sharing Plans
- Foreign Organizations
- Authentication Plan
- Budget & Period of Support

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**SBIR Application Dates:** January 5 • April 5 • September 5

**SBIR Application Examples at NIAID:**
https://www.niaid.nih.gov/grants-contracts/sample-applications#r43r44

**How To Identify SBIR/STTR Study Sections**

- Visit the CSR website for Study Section Descriptions: https://public.csr.nih.gov
- CSR Assisted Referral Tool (ART): https://art.csr.nih.gov/ART
- NIH Reporter Matchmaker: https://projectreporter.nih.gov/reporter_matchmaker.cfm
- Use the Assignment Request Form: in application package
- Check your assignment ASAP: Contact Scientific Review Officer with questions/concerns
COMPETITIVE APPLICATIONS are carefully crafted in all aspects of the application and clearly convey the value of the proposed research.

SBIR/STTR Resources
- https://sbir.nih.gov/engage
- Twitter: @NIHsbir
- https://sbir.nih.gov/resources/events
- listserv@list.nih.gov

Types of SBIR and STTR Applications

- Phase I: Proposed
- Phase I: Finished
- Phase I: Finished, Funded elsewhere
- Phase I: Proposed
- Phase II: R&D
- Phase II: Proposed
- Phase II: Proposed
- Phase II: Proposed
- Phase III: Bus.Dev.
- Phase III: Bus.Dev.
- Phase III: Bus.Dev.
- Phase III: Bus.Dev.
- Phase III: Bus.Dev.
- Phase I: 6-12 months ~$250k • Feasibility study
- Phase II: 2 years ~$1.7M • Full R&D
- Direct Phase II: 2 years ~$1.7M • Full R&D
- Fast Track: Simultaneous Phase I & II
- Phase IIB Competing Renewal: $3M for up to 3 years