

## 2022 CSR Incoming Chair Orientation

Brief Overview - Key Issues In Peer Review

Noni Byrnes, Ph.D.

Director, Center for Scientific Review

## Agenda

2:00 - 2:20 pm

Introductions, Overview of CSR – Integrity and Fairness in Peer Review

2:20 - 2:35 pm

**Preparing to Chair – Dr. Bruce Reed** 

2:35 - 4:00 pm

**Discussion – Facilitators Drs. Gene Carstea and Mark Caprara** 



Bruce Reed, Ph.D.

Deputy Director, Center for Scientific Review (CSR)

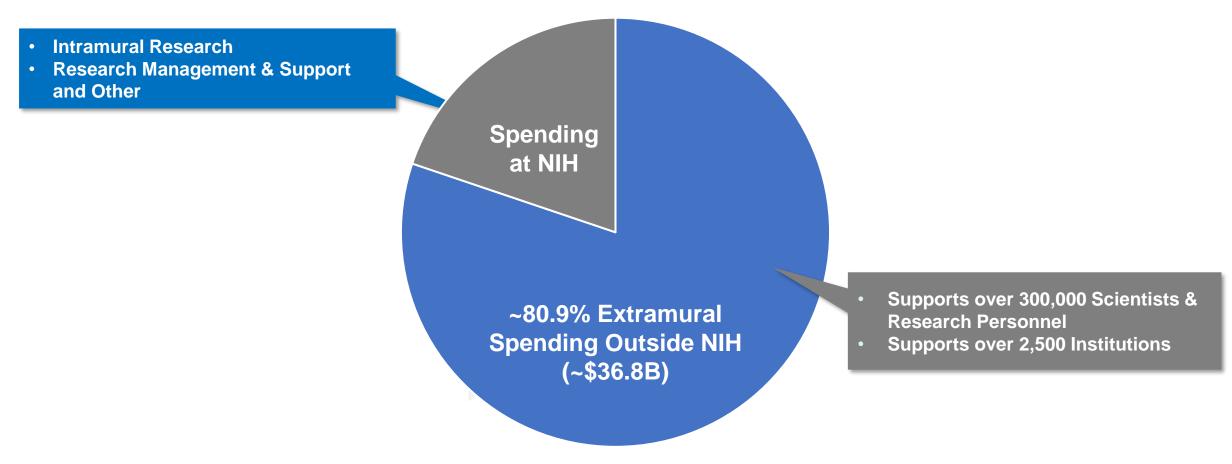


Gene Carstea, Ph.D.
Chief, Respiratory, Cardiac, and
Circulatory Sciences Review Branch
(RCCS)



Mark Caprara, Ph.D.
Chief, Molecular and Cellular
Sciences and Technologies
Review Branch (MCST)

## The Critical Importance of Peer Review – The Main Driver of NIH Extramural Funding FY 2022 NIH Budget: \$45.5 Billion



CSR reviews >76% of all applications for NIH extramural funding (~ 61,000 per year)



## **CSR's Mission**



To ensure that NIH grant applications receive fair, independent, expert, and timely scientific reviews - free from inappropriate influences - so NIH can fund the most promising research.

Achieved through the invaluable contributions of over 19,000 volunteer reviewers every year, in over 1300 review meetings!



## Integrity is fundamental to the mission



To ensure that NIH grant applications receive fair, **independent**, expert, and timely scientific reviews - **free from inappropriate influences** - so NIH can fund the most promising research.

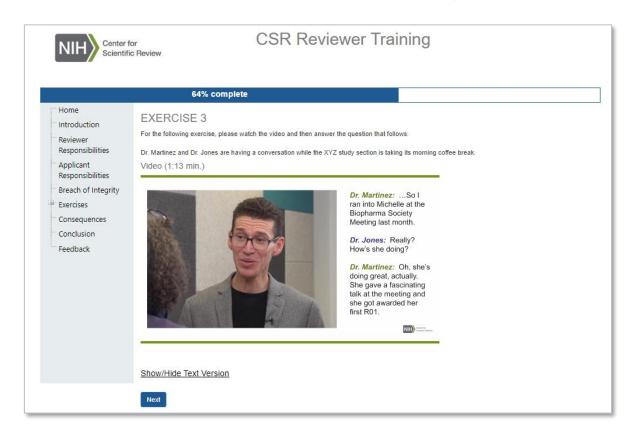
Violating the integrity of the peer review process undermines and diminishes the work of the study section in identifying the strongest, most innovative science in the field. Some examples:

- Reviewers disclosing the materials, scores, identity of assigned reviewers, content of discussion
- PI attempts to influence the outcome of the peer review process contacting reviewers, inappropriately-timed invites to seminars/visits by reviewers or offers to collaborate with reviewers
- Reviewers' ex parte discussions of applications (outside of the presence of SRO and committee)



## CSR's Online Reviewer Integrity Training Module, version 2

### **Coming prior to Fall 2022 Meetings**



### **CSR** and NIH have taken the following actions:

- deferral or withdrawal of application
- removal from serving on peer review committees
- Notification of institution of the PI or reviewer, which has led to personnel actions, institutional withdrawal of funding
- pursuing government-wide suspension and disbarment, or referral to other agencies for criminal violations

Chairs can play a major role in promoting a culture of integrity → take the training early, reinforce the SRO's message by encouraging all reviewers to take the training



## Fairness is fundamental to the mission



To ensure that NIH grant applications receive **fair**, independent, expert, and timely scientific reviews - free from inappropriate influences - **so NIH can fund the most promising research.** 

Unfairness or bias in the peer review process – i.e. the influence of <u>anything</u> outside of the review criteria -- has a direct impact on the study section's influence and its ability to identify the strongest, most meritorious science. Some examples:

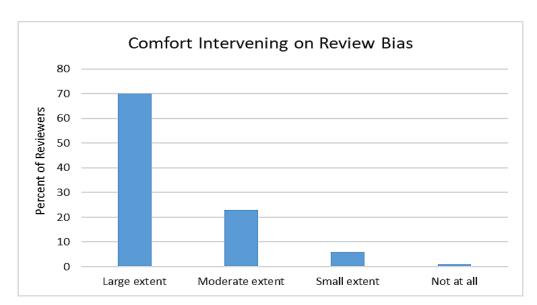
- Reputational bias, both positive and negative networked/halo effect/prestige (linked to race, ethnicity, gender, career stage)
- Reviewer bias during the meeting burden of proving expertise for women, minorities, junior reviewers, disrespectful interactions



# Bias Awareness Training for Reviewers Output Output

### **CSR Bias Awareness Training for Reviewers**

CSR developed this training with input from the CSR Advisory Council Working Group on Bias Awareness Training, which had diverse representation, included those with expertise in bias training, and members from



#### Bias Awareness Training:

Eliminating Bias in Peer Review with no Survey

### Launched in Fall 2021

- Over 15,000 reviewers received invitations – about 10,000 have taken the training. Survey feedback: very well received (full survey report).
- A common theme within the qualitative survey comments: the need for Chairs and SROs to intervene more.....

"These two jobs have the most weight in the room"

Chairs are integral to ensuring fairness in the review process  $\rightarrow$  take the training if you haven't done so yet (required for chairs), don't hesitate to intervene at the meeting



### Preview of upcoming changes to NIH peer review

- All standing, recurring study section meetings to be held in person once a year, beginning with 1/3 in Oct 2022, remainder in Feb 2023, June 2023 (tentative, depending on community infection levels)
- Piloting hybrid meetings, beginning in February 2023 (tentative) providing training and tools to ensure panelists
  have equal presence in the meeting, whether joining in person or remotely

### Learn more

CSR Advisory Council March 2022 Update

Review Surveys Regarding Format, Fall 2020, Summer 2021)

 Restructured NIH RPG review criteria – based on recommendations by the CSR Advisory Council, currently being developed at the NIH -- coming in 2023-2024

### Learn more

CSR Advisory Council Working Group on Simplifying Review Criteria – Clinical Trials and Non-Clinical Trials)

### Learn more about CSR's actions and priorities

CSR's multi-pronged actions to promote fairness and mitigate bias in NIH peer review

CSR's 2022-2027 Strategic Plan



# Q/A, Discussion, Comments noni.byrnes@nih.gov

